

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

United States of America

v.

DAVID CARMONA,
MARCO RUIZ OCHOA,
MOSES VALDEZ,
JUAN ARELLANO,
DAVID BRENDA, and
GUSTAVO RODRIGUEZ,

Defendants.

Protective Order

22 Cr. 551 (JLR)

Upon the application of the United States of America, with the consent of the undersigned counsel, and the defendants having requested discovery under Fed. R. Crim. P. 16, the Court hereby finds and orders as follows:

Categories

1. **Disclosure Material.** The Government will make disclosure to the defendants of documents, objects and information, including electronically stored information (“ESI”), pursuant to Federal Rule of Criminal Procedure 16, 18 U.S.C. §3500, and the Government’s general obligation to produce exculpatory and impeachment material in criminal cases, all of which will be referred to herein as “Disclosure Material.” The Government’s Disclosure Material may include material that (i) affects the privacy and confidentiality of individuals; (ii) would impede, if prematurely disclosed, the Government’s ongoing investigation of uncharged individuals; (iii) would risk prejudicial pretrial publicity if publicly disseminated; (iv) may be produced with more limited redactions than would otherwise be necessary; and (v) that is not authorized to be disclosed to the public or disclosed beyond that which is necessary for the defense of this criminal case.

2. **Sealed Material.** Certain of the Government's Disclosure Material, referred to herein as "Sealed Material," contains information that identifies, or could lead to the identification of, witnesses who may be subject to intimidation or obstruction, and whose lives, persons, and property, as well as the lives, persons and property of loved ones, will be subject to risk of harm absent the protective considerations set forth herein.

3. **Attorney's Possession Only ("APO") Material.** Certain materials in this case raise a particular risk of affecting the privacy or safety of victims or witnesses, or the confidentiality of ongoing investigations. Disclosure Material produced by the Government to the defendant or his counsel that is either (1) designated in whole or in part as "Attorney's Possession Only" by the Government in emails or communications to defense counsel, or (2) that includes a Bates or other label stating "Attorney's Possession Only" or "APO" shall be deemed "APO Material." Any material designated as APO Material shall also be deemed Sealed Material.

4. **Attorney's Eyes Only ("AEO") Material.** Certain materials in this case raise a more significant risk of affecting the privacy or safety of victims or witnesses, or the confidentiality of ongoing investigations. Disclosure Material produced by the Government to the defendant or his counsel that is either (1) designated in whole or in part as "Attorney's Eyes Only" by the Government in emails or communications to defense counsel, or (2) that includes a Bates or other label stating "Attorney's Eyes Only" or "AEO" shall be deemed "AEO Material." Any material designated as AEO Material shall also be deemed Sealed Material.

5. **Defendant's Material.** This Order places no restriction on a defendant's use or disclosure of ESI or other Disclosure Material that originally belonged to the defendant.

NOW, THEREFORE, FOR GOOD CAUSE SHOWN, IT IS HEREBY ORDERED:

Disclosure and Treatment

1. Disclosure Material shall not be disclosed by the defendant or defense counsel, including any successor counsel (“the defense”) other than as set forth herein, and shall be used by the defense solely for purposes of defending this action. The defense shall not post any Disclosure Material on any Internet site or network site, including any social media site such as Facebook or Twitter, to which persons other than the parties hereto have access, and shall not disclose any Disclosure Material to the media.
2. Sealed Material pertinent to any motion before the Court should initially be filed under seal, absent consent of the Government or Order of the Court, and may be disclosed by defense counsel to:
 - a. The defendant;
 - b. Personnel for whose conduct defense counsel is responsible, *i.e.*, personnel employed by or retained by counsel, as needed for purposes of defending this action; and
 - c. Prospective witnesses for purposes of defending this action.
3. APO Material received by defense counsel shall be maintained in a safe and secure manner by defense counsel and any personnel for whose conduct defense counsel is responsible; shall not be possessed by the defendant, except in the presence of the defendant’s counsel and any personnel for whose conduct defense counsel is responsible; and shall not be disclosed in any form by the defendant, his counsel, or any personnel for whose conduct defense counsel is responsible except as set forth herein.

4. AEO Material received by defense counsel shall be maintained on an attorney's eyes only basis, and the defense shall not share any AEO Material or the content of the AEO Material with any other persons, including the defendant, except for any personnel for whose conduct defense counsel is responsible.

Other Provisions

5. This Order does not prevent the disclosure of any Disclosure Material in any hearing or trial held in this action, or to any judge or magistrate judge, for purposes of this action but shall not be disclosed or used in any form in any other civil litigation, arbitration, or other private or alternative dispute resolution. All filings should comply with the privacy protection provisions of Fed. R. Crim. P. 49.1 and the above provisions referencing sealing of certain Disclosure Material.

6. The Government's designation of material will be controlling absent contrary order of the Court. However, the Government may authorize, in writing, disclosure of Disclosure Material beyond that otherwise permitted by this Order without further Order of this Court.

7. The defense shall provide a copy of this Order to prospective witnesses and persons retained by counsel to whom the defense has disclosed Disclosure Material. All such persons shall be subject to the terms of this Order. Defense counsel shall maintain a record of what information has been disclosed to which such persons.

8. Except for Disclosure Material that has been made part of the record of this case, the defense shall return to the Government or securely destroy or delete all Disclosure Material, including any ESI, within 30 days of the expiration of the period for direct appeal from any verdict in the above-captioned case; the period of direct appeal from any order dismissing any of the

charges in the above-captioned case; and the granting of any motion made on behalf of the Government dismissing any charges in the above-captioned case, whichever date is later, subject to defense counsel's obligation to retain client files under the Rules of Professional Conduct. If Disclosure Material is provided to any personnel for whose conduct defense counsel is responsible or prospective witnesses, defense counsel shall make reasonable efforts to seek the return or destruction of such materials.

9. Cross Production of ESI. The Government has advised that information that may be subject to disclosure in this case may be contained within ESI that the Government has seized, pursuant to warrants issued during the course of the investigation, from various computers, cell phones, and other devices and storage media. This ESI was seized from, among others, DAVID CARMONA, MARCO RUIZ OCHOA, MOSES VALDEZ, JUAN ARELLANO, DAVID BRENDA, and GUSTAVO RODRIGUEZ. Upon consent of all counsel, the Government is authorized to disclose to defense counsel the entirety of such seized ESI, which shall be deemed Sealed Material unless otherwise designated.

Retention of Jurisdiction

10. The provisions of this order shall not terminate at the conclusion of this criminal prosecution and the Court will retain jurisdiction to enforce this Order following termination of the case.

AGREED AND CONSENTED TO:

DAMIAN WILLIAMS
United States Attorney

by: Cecilia Vogel
Benjamin A. Gianforu
Cecilia Vogel
Assistant United States Attorneys

Date: 12/27/22


Hector Benjamin Perez, Esq.
Counsel for David Carmona

Date: 12/16/2022

Conor McNamara, Esq.
Counsel for Marco Ruiz Ochoa

Date: _____

Zachary Margulis-Ohnuma, Esq.
Counsel for Moses Valdez

Date: _____

Russ Kofman, Esq.
Counsel for David Brend

Date: _____

Retention of Jurisdiction

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AGREED AND CONSENTED TO:

DAMIAN WILLIAMS
United States Attorney

by: _____ Date: _____
Benjamin A. Gianforti
Cecilia Vogel
Assistant United States Attorneys

Hector Benjamin Perez, Esq.
Counsel for David Carmona

Date: _____

/s/ Conor McNamara

Conor McNamara, Esq.
Counsel for Marco Ruiz Ochoa

Date: Dec. 20, 2022

Zachary Margulis-Ohnuma, Esq.
Counsel for Moses Valdez

Date: _____

Russ Kofman, Esq.
Counsel for David Brend

Date: _____

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AGREED AND CONSENTED TO:

DAMIAN WILLIAMS
United States Attorney

by: _____ Date: _____
Benjamin A. Gianforti
Cecilia Vogel
Assistant United States Attorneys

_____ Date: _____
Hector Benjamin Perez, Esq.
Counsel for David Carmona

_____ Date: _____
Conor McNamara, Esq.
Counsel for Marco Ruiz Ochoa



Zachary Margulis-Ohnuma, Esq.
Counsel for Moses Valdez

Date: _____ 12/13/2022

_____ Date: _____
Russ Kofman, Esq.
Counsel for David Brend

Retention of Jurisdiction

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AGREED AND CONSENTED TO:

DAMIAN WILLIAMS
United States Attorney

by: _____

Benjamin A. Gianforti
Cecilia Vogel
Assistant United States Attorneys

Date: _____

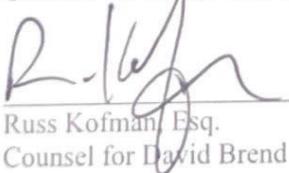
Hector Benjamin Perez, Esq.
Counsel for David Carmona

Date: _____

Conor McNamara, Esq.
Counsel for Marco Ruiz Ochoa

Date: _____

Zachary Margulis-Ohnuma, Esq.
Counsel for Moses Valdez



Russ Kofman, Esq.
Counsel for David Brend

Date: _____

Date: 12/13/2022

Chad Kaser, Esq.
Counsel for Gustavo Rodriguez

Date: _____

SO ORDERED:

Dated: New York, New York
____ December 2022

THE HONORABLE JENNIFER L. ROCHON
UNITED STATES DISTRICT JUDGE

/s/ Charles Kaser
Chad Kaser, Esq.
Counsel for Gustavo Rodriguez

Date: December 20, 2022

SO ORDERED:

Dated: New York, New York
December 2022

THE HONORABLE JENNIFER L. ROCHON
UNITED STATES DISTRICT JUDGE

Chad Kaser, Esq.
Counsel for Gustavo Rodriguez

Date: _____

James Neuman

James Neuman, Esq.
Counsel for Juan Arellano

Date: 12/23/2022

SO ORDERED:

Dated: December 28, 2022
New York, New York

Jennifer Rochon

THE HONORABLE JENNIFER L. ROCHON
UNITED STATES DISTRICT JUDGE